#### **ORIGINAL PAPER**



# **Anthropogenic sinkholes of the city of Naples, Italy: an update**

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#### **Abstract**

In recent years, the study of anthropogenic sinkholes in densely urbanized areas has attracted the attention of both researchers and land management entities. The city of Naples (Italy) has been frequently afected by processes generating such landforms in the last decades: for this reason, an update of the sinkhole inventory and a preliminary susceptibility estimation are proposed in this work. Starting from previous data, not modifed since 2010, a total of 270 new events occurred in the period February 2010–June 2021 were collected through the examination of online newspapers, local daily reports, council chronicle news and feld surveys. The fnal consistence of the updated inventory is of 458 events occurred between 1880 and 2021, distributed through time with an increasing trend in frequency. Spatial analysis of sinkholes indicates a concentration in the central sector of the city, corresponding to its ancient and historic centre, crossed by a dense network of underground tunnels and cavities. Cavity-roof collapse is confrmed as one of the potential genetic types, along with processes related to rainfall events and service lines damage. A clear correlation between monthly rainfall and the number of triggered sinkholes was identifed. Finally, a preliminary sinkhole susceptibility assessment, carried out by Frequency Ratio method, confrms the central sector of city as that most susceptible to sinkholes and emphasizes the predisposing role of service lines, mostly in the outermost areas of the city.

**Keywords** Anthropogenic sinkholes · Inventory · Cavities · Triggering factors · Collapse sinkholes

## **1 Introduction**

Sinkholes are widespread in many regions of the world, such as Florida, China, Ecuador, Iran, Turkey (Wilson and Beck [1992](#page-31-0); Jiang et al. [2005](#page-29-0); Lei et al. [2005;](#page-29-1) Brinkmann et al. [2008;](#page-27-0) Karimi and Taheri [2010;](#page-29-2) Heidari et al. [2011](#page-28-0); Gao et al. [2013](#page-28-1); Ozdemir [2015,](#page-29-3) [2016;](#page-29-4)

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Taheri et al. [2015;](#page-30-0) Kim et al. [2017](#page-29-5); Subedi et al. [2019](#page-30-1); Cando Jàcome et al. [2020](#page-27-1)) and represent a relevant geohazard due to their frequent and sudden development (Newton [1984;](#page-29-6) Sinclair and Steward [1985;](#page-30-2) Beck and Jenkins [1986](#page-27-2); Snyder et al. [1989;](#page-30-3) Nisio et al. [2007](#page-29-7)). The term sinkhole is used to indicate a subcircular depression/collapse which occurs in a range of geological conditions. For instance, in karst environment such landforms develop due to subsurface chemical dissolution of rocks (solution sinkholes) or by both subsurface dissolution and downward gravitational movement (internal erosion or deformation) of the undermined overlying material (subsidence sinkholes) (Waltham et al. [2005;](#page-31-1) Gutiérrez et al. [2008,](#page-28-2) [2014](#page-28-3)); in alluvial plains characterized by thick deposits of alluvium, or intercalations of volcaniclastic products, colluvial deposits and clays, sinkholes originate from soil piping and related voids collapse (Parise and Gunn [2007](#page-29-8); Del Prete et al. [2010](#page-28-4); Iovine et al. [2016\)](#page-28-5).

In the presence of natural or man-made underground cavities, instabilities related to roof failure into underlying cavities are responsible for generating collapse sinkholes (Ammirati et al. [2020](#page-27-3)). Their origin is linked to variations of stress conditions that exceed material strength in the surroundings, frequently related to sudden water-level changes (Tharp [1999](#page-30-4), [2002;](#page-30-5) Shalev and Lyakhovsky [2012\)](#page-30-6) or to the degradation of the rock mass, due to water infltration, weathering processes, etc.… (Parise [2015](#page-30-7)). This failure mechanism, although frequently associated to soluble rocks, is also typical in soft rocks as tufs, loess and sandstones, characterized by the presence of cavities. The general formation of this type of sinkholes consists in the initial collapse at the roof of the cavity, that can propagate to the ground surface as a function of the cavity geometry and of the physical, mechanical and hydraulic properties of the materials (Scotto di Santolo et al. [2018\)](#page-30-8).

Underground cavities can be a common element in urban areas, especially in the presence of bedrock materials suitable for settlement built up and can have signifcant efects on sinkhole development due to their predisposing action. Worldwide, several case studies of the collapse of man-made underground cavities in urban areas have been proposed, as for example the study case of the two systems of bell-shaped chalk caverns in Israel (Hatzor et al. [2002](#page-28-6)), the abandoned metal mines in Canada (Bètournay [2009\)](#page-27-4), some limestone mines in the Netherlands (Bekendam [1998\)](#page-27-5) and the Longyou rock caverns in China (Li et al. [2009\)](#page-29-9). In urban areas, sinkhole initiation may be responsible for severe damage to settlements and in some cases for the loss of human life, so that they can be considered as an emerging hazard of the Anthropocene (Dixon et al. [2018](#page-28-7)). In this context, the analysis of sinkholes susceptibility and hazard has signifcant implications for human society and should guide urban planning and emergency plan development.

Over the last decades, the occurrence of sinkholes in urban areas has substantially increased (Pellicani et al. [2017\)](#page-30-9). In Italy sinkholes are widespread, with over 50% of them located in the central-southern regions (Nisio et al. [2007](#page-29-7); Parise and Vennari [2013](#page-29-10)). Of these, sinkholes caused by the roof failure of man-made cavities (or anthropogenic sinkholes) have been recognized in Apulia (Parise [2011;](#page-30-10) Parise and Lollino [2011;](#page-29-11) Lollino et al. [2013](#page-29-12); Fazio et al. [2017;](#page-28-8) Pellicani et al. [2017](#page-30-9)), Sicilia (Sottile [2016](#page-30-11)) and in Campania region (Guarino and Nisio [2012;](#page-28-9) Scotto di Santolo et al. [2015,](#page-30-12) [2016,](#page-30-13) [2018;](#page-30-8) Cennamo et al. [2017](#page-28-10); Guarino et al. [2018;](#page-28-11) Rispoli et al. [2020](#page-30-14)). In this context, the risk linked to anthropogenic sinkholes is relevant for the communities, also in relation to their very rapid development that may prevent any tentative to escape. In these cases, an important tool for risk mitigation is the development of susceptibility and hazard scenarios, based on updated inventories, that provide information on the distribution of existing sinkholes in a given area. Sinkhole inventories are of fundamental importance for draft susceptibility maps and for the selection and application of mitigation measures and adaptation plans (Waltham et al. [2005;](#page-31-1) Gutièrrez et al. [2008,](#page-28-2) [2014\)](#page-28-3). Sinkhole susceptibility maps can be produced through several methods with diferent abilities and predictive accuracy, including probabilistic (Kim et al. [2006](#page-29-13); Galve et al. [2009](#page-28-12)), multicriteria decision (Mancini et al. [2009\)](#page-29-14), artifcial neural network (ANN) (Kim et al. [2009](#page-29-15)), and fuzzy operator (Choi et al. [2010\)](#page-28-13) analyses. Among the probabilistic methods, Frequency Ratio is widely used to perform sinkhole susceptibility mapping (i.e. Yilmaz [2007;](#page-31-2) Oh and Lee [2011;](#page-29-16) Pradhan et al. [2014\)](#page-30-15). Frequency Ratio is defned as the ratio of the probabilities of a sinkhole occurrence to a non-occurrence for a given attribute, used to obtain relationships between predisposing factors chosen and sinkhole occurrence area.

Anthropogenic sinkholes, due to their spatial and temporal distribution, are among the most relevant issues in the densely urbanized municipality of Naples (over 8,000 inhabitants/ $km^2$  in 2019—ISTAT [2019\)](#page-28-14). For instance, the ancient center of the city (dating back to seventh century B.C.), worldwide known for cultural heritage expression of its long history, is particularly prone to collapse sinkholes due to the presence of a network of underground cavities, the oldest of which refer to the Eneolithic age (Fig. [1\)](#page-2-0) (Basso et al. [2013](#page-27-6)). According to the international classifcation of artifcial cavities (Galeazzi [2013;](#page-28-15) Parise et al. [2013](#page-30-16)), the most common categories present in the subsoil of the city of Naples can be identifed, with respect to the building techniques, as: (1) cavities dug in the subsoil and (2) cavities constructed in the subsoil. Instead, the type of underground artifcial structures can be recognized as: (1) aqueducts, cisterns and sewer systems (type A.3, A.4 and A.7); (2) hypogeum (type B.1); (3) cultural places (type C.1); (4) cavities derived from quarrying of bedrock tuff material (as building material) (type E.1). All such artificial cavities are today sites of preferential weathering of the rock mass, sufering a slow but continuous decay that creates predisposing conditions for sinkhole development. Many cavities are currently abandoned, neglected and/or inaccessible because flled with buildings debris or other

<span id="page-2-0"></span>

**Fig. 1** Photos of man-made underground cavities of the city of Naples: **a** *Cimitero delle Fontanelle* (courtesy of Sintema Engineering srl); **b**, **c** *San Marcellino* cavity

materials (Evangelista et al. [2000](#page-28-16)), so that it is challenging to know their precise planimetric and volumetric extension. In some cases, places of worship, such as architectural elements, are located just in correspondence of cavities, making them potentially susceptible to damage related to cavity-roof collapse sinkholes (Rispoli et al. [2020\)](#page-30-14).

In the perspective of an estimation of sinkhole risk, this paper presents an update of sinkhole inventory and a preliminary susceptibility assessment for the city of Naples. Starting from the dataset produced by Guarino and Nisio  $(2012)$  $(2012)$ , consisting of 188 anthropogenic sinkholes occurred between 1880 and 2010, a new inventory was prepared adding the events occurred between February 2010 and the frst half of 2021. The information related to sinkhole occurrence was derived from diferent sources, including newspapers to feld survey, and an analysis of the genesis mechanisms and of the triggering factors, responsible for sinkholes formation, was also carried out. Subsequently, a preliminary sinkhole susceptibility assessment was developed through the Frequency Ratio analysis (Bonham-Carter [1994\)](#page-27-7), based on the statistical relationships between the total inventory of sinkholes and 12 predisposing factors.

#### **2 The study area**

The city of Naples (Fig. [2\)](#page-3-0) lies in the Campanian Plain, an asymmetric half-graben (D'Argenio et al. [1973;](#page-28-17) Brancaccio et al. [1991](#page-27-8); Milia et al. [2003](#page-29-17); Turco et al. [2006](#page-30-17); Vitale and Ciarcia [2018](#page-31-3)) flled by a 2000–3000-m-thick sequence of Quaternary continental, deltaic, marine sediments and volcanic deposits derived from the activity of Phlegraean Fields district and Somma-Vesuvius volcano. The hilly morphology of the area surrounding



<span id="page-3-0"></span>**Fig. 2** Geological map of the city of Naples

the city is consistently related to the activity of explosive monogenetic volcanoes of the Phlegraean Fields as well as to volcanic-tectonic collapses linked to the formation of the Campanian Ignimbrite — CI (39 ky) and of Neapolitan Yellow Tuff — NYT (15 ky) caldera (Scarpati et al. [2013](#page-30-18)). NYT forms the urban bedrock and consists mostly of pyroclastic-fow and minor fall deposits that can be ascribed either to lithifed or not-lithifed diagenetic facies. The former has a yellow colour, while the latter, the so-called Pozzolana, is grey and preserves its primary depositional character. In particular, lithifcation is the result of a diagenetic zeolitisation process (Scarpati et al. [1993](#page-30-19)).

The NYT eruption is among the most relevant of the history of the Phlegraean Fields. After this eruption, about 70 episodes occurred over three epochs of activity (15.0–9.5, 8.6–8.2 and 4.8–3.8 ky) with a mean recurrence interval of a few tens of years (Orsi et al. [2004\)](#page-29-18). Such eruptions produced loose pyroclastic deposits, pyroclastic fows and surge deposits, mainly observable in the western sector of the city. In this area, several meters of such pyroclastic deposits cover NYT, appearing particularly thick (up to 30 m) at the base of hillslopes where they fll structural depressions or ancient erosional valleys (Cinque et al. [2011\)](#page-28-18). Instead, in the central sector of the city NYT is unconformably followed upward by a sequence (usually 15 m thick) alternating reworked and in situ pyroclastics carrying at the base the fallout deposits of the Soccavo eruption (10.3–9.5 ka; Amato et al. [2009\)](#page-27-9). In the eastern zone of the city, alluvial sediments and marshes fll the Sebeto River alluvial plain, developed in the tectonic depression of Volla (Bellucci et al. [1993](#page-27-10)). In the urban coastal plains and in the northern and western sectors of the city, marine to continental sediments are also present, usually covered by landflls.

The landscape of Naples is also characterized by incision activities from superimposed torrents, alternating small coastal bays (sometimes hosting narrow coastal plains) and clifed promontories (Cinque et al. [2011](#page-28-18)). From a hydrogeological point of view, the Neapolitan subsoil is marked by an unconfned aquifer housed in the pyroclastic rocks overlying the NYT. The water table is generally located at variable depths below the surface, ranging from 20 m to more than 200 m, except for the morphologically depressed areas, such as in the Sebeto River plain and the areas near the coast, where it is close to the ground surface (Guarino and Nisio [2012\)](#page-28-9).

A singular feature of the city is the presence of an extended network of underground cavities of variable shape, extent and volume, located within the NYT. For its peculiar characteristics as macro-porosity, fair mechanical parameters and low specifc weight, this material has been intensely quarried and used as building stone in Neapolitan and regional architecture since Greek times. For many centuries, the extraction activity has been concentrated beneath the city of Naples. Subsequently, open pit quarries developed at the borders of the old town and more recently along the western sector of Campi Flegrei (Morra et al. [2010\)](#page-29-19). Over the centuries, the network of underground cavities has grown also for the building of crypts, catacombs and cemeteries as well as aqueduct system and cisterns and subway lines (Evangelista et al. [2000](#page-28-16); Scotto di Santolo et al. [2015;](#page-30-12) Allocca et al. [2018\)](#page-27-11). In many cases, their exact location is unknown.

## **3 Materials and methods**

As a frst step toward the development of an updated inventory of anthropogenic sinkholes of the city of Naples, data and information related to sinkhole phenomena documented by ISPRA (*Italian National Institute for Environmental Protection and Research*) inventory

(Guarino and Nisio [2012\)](#page-28-9) were digitalized in vector format within a Geographic Information System (GIS). Subsequently, sinkholes occurred between February 2010 and June 2021 were added to the inventory. Information about such events were derived from multiple sources: (1) online version of national daily newspapers (e.g. [www.ansa.it,](http://www.ansa.it) [www.corri](http://www.corriere.it) [ere.it,](http://www.corriere.it) [www.ilmattino.it](http://www.ilmattino.it)), (2) local daily reports (e.g. [www.fanpage.it/napoli/](http://www.fanpage.it/napoli/), [www.napol](http://www.napolitoday.it) [itoday.it](http://www.napolitoday.it), [www.vesuviolive.it\)](http://www.vesuviolive.it), (3) council chronicles news (e.g. from [www.comune.napoli.](http://www.comune.napoli.it) [it](http://www.comune.napoli.it)) and (4) feld survey carried out by the authors. For each inventoried event, data relative to sinkhole occurrence were verifed by cross-correlating diferent sources. In this way, the risk of introducing inconsistent information was reduced.

For each new event collected, data about formation timing (generally day, month and year), location (in terms of WGS84-UTM coordinates or, when not possible, of indication of the street of occurrence), sources, presumable triggering factor, were derived from newspaper information and feld evidence (for those collected by feld survey; e.g. the evident rupture of an aqueduct line). Main morphometric characteristics (diameter and depth) and a photo of the event were derived whenever possible, too. Moreover, interviews to locals were conducted to obtain specifc information on sinkholes. Some information, as the exact location and the morphometric characteristics of sinkholes, were not always available or well indicated. In these cases, it was necessary to conduct a visual inspection of the available photos and an additional spatial analysis into Google Earth environment, to identify the location and assess the diameter of the sinkhole. Figure [3](#page-5-0) shows a few examples of photographic documentation used for the event recognition. To supplement our analysis, providing an interpretation of the sinkhole-driving mechanism, data depicting the presence and distribution of the cavities over the study area and rainfall data derived from Napoli Capodimonte station (#18949) for the period 2010–June 2021, were acquired. In this way, connections between anthropogenic triggering factors and/or rainfall events leading to sinkhole occurrence in the study area were investigated through graphical correlations.

Preliminary sinkhole susceptibility assessment was carried out using the dataset of events for which coordinates of occurrence are known, through the Frequency Ratio model

<span id="page-5-0"></span>

**Fig. 3** Examples of sinkholes located in the city of Naples: **a** Via Girolamo Santacroce, Vomero municipality, 15/02/2019; **b** viale Calascione a Monte di Dio, 08/11/2019; **c** via Jacopo De Gennaro, Fuorigrotta municipality, 18/12/2019 (modifed from [www.ilmattino.it](http://www.ilmattino.it)); **d** parking of Ospedale del Mare, 08/01/2021

(Bonham-Carter [1994](#page-27-7)), and considering twelve predisposing factors *PFs* (1—Aqueduct Network Density; 2- Aqueduct Network Distance; 3—Groundwater depth; 4—Cover thickness; 5—Road Network Density; 6—Road Network Distance; 7—Sewer System Density; 8—Sewer System Distance; 9—Underground Cavity Density; 10—Underground Cavity Distance; 11—Underground Railroad Density; 12—Underground Railroad Distance). This approach allows the calculation of the probability of appearance of new phenomena considering fve diferent classes of decreasing weight for each predisposing factor and on the base of already known sinkholes data occurrence. Frequency Ratio (*FR*) was estimated through the formulation (1):

$$
FR_{\text{score}} = \frac{\text{Number of pixels with sinkholes for the class of PF/Total number of pixels with sinkholes}}{\text{Number of pixels of the class of PF/Total number of pixels}}
$$

(1)

with a pixel size of  $20\times20$  m. After calculating FR score for each class of predisposing factors, a Sinkhole Susceptibility index was calculated by the sum of the FR scores. The result was reclassifed in fve diferent susceptibility classes from very low to very high using the Natural Breaks classifcation method (Jenks [1967\)](#page-28-19). Finally, performance of the model was determined by the Area Under the Curve (AUC) of the relative Receiver Operating Characteristics (ROC) curve (Swets [1988](#page-30-20)).

## <span id="page-6-0"></span>**4 Results**

#### **4.1 The updated inventory**

Sinkhole recognition through online newspapers, local daily reports, council chronicle news, literature analysis (i.e. existing ISPRA database—Guarino and Nisio [2012\)](#page-28-9) and feld surveys, was carried out producing an inventory of 458 anthropogenic sinkholes of the city of Naples, 270 of which triggered between February 2010 and June 2021 (Table [1](#page-7-0)). Of the 458 inventoried sinkholes, 455 bear associated information about time of occurrence. The yearly frequency of sinkholes since the second half of the last century (data prior than 1950 have been represented as a single data value) highlights the enrichment of inventory with the most recent data (Fig. [4](#page-18-0)a). The entire series is characterized by a general increasing trend, although the distribution is quite discontinuous. In fact, some periods prior to the update are characterized by an absence of events, probably due to a non-signifcance of news, or to a possible loss of information. However, since 2007 and until June 2021, about 61% of the 455 inventoried sinkholes (known timing of occurrence) form a continuous series sharply growing with time. Such percentage is formed by 13 events from ISPRA inventory and 267 sinkholes occurred between February 2010 and June 2021. Sinkholes from ISPRA inventory are 188, while the new inventoried events are 270, 267 of which with of known timing of occurrence (Fig. [4](#page-18-0)a).

Overall, inventoried sinkholes were triggered by: rainfall events, aqueduct and sewer leaks and maintenance works; however, in 36.0% of the cases, triggering factors were not identifed. The comparison between triggering factors (Fig. [4](#page-18-0)b) shows for sinkholes inventoried by ISPRA that rainfall is recognized as the most common defned cause (50.5% of the events), followed by not defned factors (22.9%), aqueduct and sewer leaks (9.6%) and maintenance works (2.1%); while for February 2010–June 2021 sinkholes the most common factor is not defned (45.2% of events), followed by rainfall (37.0%), aqueduct and sewer leaks (15.6%) and maintenance works (2.2%).

<span id="page-7-0"></span>Table 1 Sinkholes occurred in the period 2010-June 2021 in Naples























A Aqueduct leak, R rainfall, S sewer leak, W water infiltration, T vehicular traffic, n.d. not defined *A* Aqueduct leak, *R* rainfall, *S* sewer leak, *W* water infltration, *T* vehicular trafc, *n.d.* not defned

m); depth (in m)

For each event are indicated (in known): identifcation number, date (dd/mm/yyyy) of sinkhole occurrence; coordinates (WGS84); triggering factors recognised; diameter (in



<span id="page-18-0"></span>**Fig. 4 a** Annual sinkhole frequency (number of events per year) from the middle of the last century (data prior than 1950 have been represented as a single data value) to June 2021; **b** Triggering factors; **c** Statistical representation of known diameters and depths for 2010–2021 and ISPRA inventories

ISPRA inventory classifed 28 events as triggered by cavity-roof collapse. In our case we consider the cavity-roof collapse as a genetic process and not as a triggering factor: therefore, no updated sinkhole falls in this class. Finally, morphometric characters show diferences in the median value as well as in the general distribution (Fig. [4](#page-18-0)c). In particular, with respect to ISPRA inventory, diameter and depth of the new data are smaller and included in a narrower range.

#### **4.2 Characteristics of newly inventoried sinkholes**

The yearly frequency (number of events per year) of the new 267 inventoried events of known timing of occurrence (Fig. [4a](#page-18-0)) indicates a rate between 11 and 23 events per year over the period of inventory updating. Exceptions are the 37 events recorded in 2015 and the 50 events recorded in 2019, so that about 32% of the 267 new events with known timing of occurrence (this datum is not available for 3 events) were triggered in these two years only. It is worth to point out that events referred to 2010 are only a part of the total,

since they represent the events not already included in the ISPRA database. Similarly, for 2021 the data are incomplete, since they refer only to the frst six months of the year.

As far as the triggering factors are concerned (Fig. [4](#page-18-0)b), for about 45% of the events the triggering factor is unknown. The remaining 55% can be associated to rainfall events (37% of the new addition), 15.6% occur due to leakage from underground aqueduct and sewer system, also connected to heavy rainstorms, while no direct correlation with seismic events is recorded, although some seismic events characterized by a medium to low intensity (earthquake duration magnitude  $M_d$  between 3.0 and 1.9—from National Institute of Geophysics and Volcanology, INGV) occurred in the time frame. A very small percentage of the cases (2.2%) are related to the action or weight of vehicles and materials used for road and maintenance works.

Morphometric data of sinkholes occurred between 2010 and 2021 are available for 80 events only (about 28.5%) as regards diameter and for 42 events (15%) for depth (Fig. [4c](#page-18-0)). Statistical analysis shows that diameter ranges between 0.4 and 50 m, but only 9 sinkholes have diameters greater than 5 m, while median value is 2 m. Depth ranges between few centimeters to 20 m, even if only 5 events have a depth greater than 5 m: in this case, the median value is 1 m. This consistency is in agreement with the types of sinkholes recog-nized: collapse sinkholes are commonly of metric size (e.g. Parise [2012](#page-29-20), Gutièrrez et al. [2014\)](#page-28-3), while sinkholes linked to the leak of aqueduct or sewer system are characterized by depths lower than one meter (e.g. Kim et al. [2018\)](#page-29-21).

About data sources, 241 of the new sinkholes were derived by newspaper sources. Data on 78 events were collected through national newspapers, 163 events were collected through local newspapers and 15 through local council reports (e.g. Naples council) (Fig. [5](#page-19-0)), 14 additional events were collected by feld survey, for a total of 270 new events.

The dataset of events for which coordinates of occurrence are known, consists of 380 sinkholes distributed over the study area (Table [1](#page-7-0); Fig. [6](#page-20-0)a). Distribution of sinkholes is mainly concentrated in the central area that encompasses the ancient center of the city. The heat map indicating the number of sinkholes per  $km^2$  (Fig. [6](#page-20-0)b) shows a concentration higher than 12 units per  $km^2$  in this area of the city, which corresponds to the most important one in terms of cultural asset and vulnerability to sinkholes. In fact, here, a rich architectural heritage made up of castles, royal palaces, noble residences, cathedrals, churches, chapels and convents which, forming the cultural asset of the city, have contributed to the inclusion of the center of Naples in the list of UNESCO World Heritage sites in December 1995 (Clemente et al. [2015\)](#page-28-20), are located. In the same area the most complex part of the system of underground cavities and tunnels is present. The higher concentration of sinkholes in the central sector of the city is also confrmed by analyzing the distribution of new inventoried sinkholes referred to the period February 2010–June 2021, and the related heat maps (Fig.  $6c-f$  $6c-f$ ).

<span id="page-19-0"></span>**Fig. 5** Source of new 2010–June 2021 sinkhole data





<span id="page-20-0"></span>**Fig. 6 a** Updated sinkhole inventory map; **b** Heat map of the updated sinkhole inventory (n/km<sup>2</sup>); **c** Sinkholes occurred from 1880 to 2010 as derived from ISPRA database (Guarino and Nisio, [2012\)](#page-28-9); **d** Heat map of sinkholes (n/km<sup>2</sup>) for the 1880–2021 time span; **e** Newly inventoried sinkholes occurred from 2010 to 2021; **f** Heat map of sinkholes occurred from 2010 to June 2021  $(n/km^2)$ 

Distribution analysis of sinkholes within the municipalities of the city of Naples was conducted considering both the events with known coordinates and streets of occurrence (Fig. [7](#page-21-0)). In this last case, in absence of house number, the event was considered only if the street fell entirely within a single municipality. In particular, the events inventoried by ISPRA with known coordinates were 167, while 11 sinkholes positions were derived from the information of the street of occurrence, for a total of 178 events with known position.

The new inventoried sinkholes referred to the period February 2010–June 2021 consists of 213 events with known coordinates and 42 events with position in the municipality derived from address information, for a total of 255 sinkholes.

In detail, between 1880 and 2010 most of the events (78%) occurred within the (1) municipality 1—Chiaia, Posillipo, S. Ferdinando, (2) municipality 2—Avvocata,



<span id="page-21-0"></span>**Fig. 7** Distribution of sinkholes for each municipality of Naples occurred between 1880 and 2010 (ISPRA database) in purple, and between 2010 and June 2021 in cyan

Montecalvario, Porto, S. Giuseppe, Pendino, Mercato, (3) municipality 3—Stella, S. Carlo all'Arena, (4) municipality 4—Vicaria, S. Lorenzo, Poggioreale and (5) municipality 5— Vomero, Arenella. Instead, between 2010 and 2021 almost 80% of the events occurred within the (1) municipality 1—Chiaia, Posillipo, S. Ferdinando, (2) municipality 2—Avvocata, Montecalvario, Porto, S. Giuseppe, Pendino, Mercato, (3) municipality 3—Stella, S. Carlo all'Arena, (4) municipality 4—Vicaria, S. Lorenzo, Poggioreale, (5) municipality 5—Vomero, Arenella, (6) municipality 8—Chiaiano, Piscinola-Marianella, Scampia, (7) municipality 10—Bagnoli, Fuorigrotta. Such distribution confrms the trend of sinkholes trigger in the central sector of the city, but highlights an enlargement of the involved area in the most recent years.

#### **4.3 Sinkhole susceptibility analysis**

The fraction of the inventory for which coordinates of occurrence are known was used to produce a preliminary sinkhole susceptibility map for the city of Naples using the Frequency Ratio approach. Frequency Ratio scores indicate a heavy infuence of Underground Cavities Density and, subordinately, of Distance. Underground Railroad Density appears as the most important predisposing factor; conversely, Groundwater Depth, Cover Thickness and Road Network Density are the least important ones. Sinkhole susceptibility map shows the spatial distribution of very high susceptibility class mostly in the central area of the city of Naples: municipality 2 is almost entirely characterized by this susceptibility class, while municipalities 1, 3, 4, 5 and 10 only partially. Generally, susceptibility classes show a decreasing trend from the ancient centre of the city to the bordering areas (here from medium to very low) and refect the pattern of the most important roads. The sink-hole susceptibility map (Fig. [8](#page-22-0)) was categorized into five classes: (1) very low, (2) low, (3) medium, (4) high, (5) very high, which correspond to  $30\%$ ,  $35\%$ ,  $18\%$ ,  $11\%$  and  $6\%$  of the total area, respectively. The predictive performance analysis returns a ROC/AUC score equal to 0.81 (1.00 represents the maxima predictive performance).

## **5 Discussion**

The updated inventory of the anthropogenic sinkholes of the city of Naples consists of 458 entries, 270 of which are newly identifed sinkholes occurred in the period February 2010–June 2021. It represents an update of the previous available inventory (ISPRA, Guarino and Nisio [2012](#page-28-9)), that consisted of 188 sinkholes collected in the time interval from 1880 until 2010. Overall, new sinkholes are present in all of the municipalities of the city with a time-growing trend compared to ISPRA database in the bordering municipalities. The highest concentration is located in the municipalities 2 and 5 and significant concentrations are present in municipalities 1 and 3 (Fig. [9](#page-23-0)). The analysis of sinkhole inventory highlights an increasing trend in the annual frequency of sinkholes (Fig. [10](#page-23-1)).

From the analysis of number of sinkholes cumulated over the time it is possible to notice a regular growth of the events until 2011 and a subsequent abrupt increase in the last decade, coinciding with the inventory update. Although this might be related to the higher availability of data source, a relation with the rapid settlement development occurred in the



<span id="page-22-0"></span>**Fig. 8** Sinkhole susceptibility map



<span id="page-23-0"></span>**Fig. 9** Average density of sinkholes for each municipality of Naples



<span id="page-23-1"></span>**Fig. 10** Cumulative distribution of sinkholes from 1961 to 2021

past decades that led to an increase of aqueduct demand and sewer systems use (frequently inadequate with damage and/or leakage), cannot be excluded.

Geological setting of the study area has also an infuence on sinkholes development. In fact, sinkholes are concentrated in the central area of the city coinciding with its ancient centre, where NYT formation is present in the subsoil and is characterized by difuse underground cavities that sometimes undergo roof collapses (Nicotera and Lucini [1967;](#page-29-22) Albertini et al. [1988](#page-27-12); Evangelista et al. [2002;](#page-28-21) Lombardi et al. [2010](#page-29-23); Basso et al. [2013](#page-27-6)).

Even if the Frequency Ratio analysis for sinkholes susceptibility assessment highlights that the cover thickness and consequently the depth of NYT does not represent one of the most important predisposing factor, water losses from aqueduct and sewer systems coupled with the water infltration action and the weathering processes can be associated to the progressive degradation of mechanical properties of NYT. In the opposite, sinkholes are less frequent in the other sectors of the city, where the network of cavities is much more sporadic: this occurs in the eastern sector, where prevailingly loose, non-volcanic materials are present (hence, not suitable as building materials) and western one, strongly afected by tectonic-volcanic collapse event in the past that makes hard the excavation of the material. Sinkholes susceptibility map confrms the medium-very low susceptibility degrees of these sector of the city, in agreement with previous studies (e.g. Guarino and Nisio [2012;](#page-28-9) Basso et al. [2013](#page-27-6)). Diferently, susceptibility presents signifcant diference in the northern and south-east sectors of the city, in past classifed as very high-medium susceptibility. Such diferences, confrming the importance of an updated sinkhole inventory for supporting susceptibility analysis.

Anthropogenic sinkholes which afect Naples are also present in the hinterland of the city (Guarino et al. [2018](#page-28-11); Scotto di Santolo et al. [2018\)](#page-30-8) where preexisting network of caves within the Campanian Ignimbrite tuff at shallow depths is historically subject to sinkhole formation. Similarly, in the urban area of Rome (Italy), tunnels produced by historical mining activities of tufs and pyroclastic rocks, drainage tunnels and catacombs, can easily predispose the collapse of the deeper layers. Furthermore, runoff activity concurrently with intense rainfall can lead to the loose of soil below the road surface, causing the collapse of the shallow layers (Ciotoli et al. [2013\)](#page-28-22). Naples' sinkholes present similarities with those occurring over the world, both in areas characterized by past quarrying of soluble or soft rocks, such as in Netherlands, USA and Korea (Bekendam [1998](#page-27-5); Galloway et al. [1999;](#page-28-23) Sunwoo et al. [2010](#page-30-21)) and in areas characterized by obsolete or defective sewer pipes, as in Guatemala, Japan and South Korea (Hermosilla [2012](#page-28-24); Yokota et al. [2012](#page-31-4); Kim et al. [2018](#page-29-21)).

The genetic mechanism of sinkholes was analyzed comparing sinkholes' distribution with those of cavities, in the form of 30 m-buffered polygons to minimize the effects of the lack of knowledge for some cavities in size and extension and according to the Frequency Ratio scores which indicate the heavy predisposing infuence of Underground Cavities Density. The results show 41 events that fall inside the bufered area (Fig. [11a](#page-24-0)). Such events, representing almost 20% of the 213 new sinkholes with known coordinates,



<span id="page-24-0"></span>**Fig. 11 a** Distribution of sinkholes falling inside the 30 m-bufered cavity; **b** Distribution of sinkholes falling outside the 30 m-buffered cavity

can be classifed as collapse. Such fraction is close to that estimated by Guarino and Nisio ([2012\)](#page-28-9) for the old inventory, for which the collapse was identifed as triggering mechanism in around 25% of the total cases. The remaining 80% of the new sinkholes are distributed over a larger area and do not fall within bufered cavities (Fig. [11](#page-24-0)b). These sinkholes (or part of them) could be associated to sewerage system-induced sinkholes (Rogers [1986](#page-30-22)), linked to groundwater or sewer infltration through a damaged sewer pipe, especially during and after a heavy rainfall (Kim et al. [2018](#page-29-21)). The discharge of soil particles related to the action of water infltration leads to loosening of the soil with formation of underground cavities, and ground collapse. However, it is important to remember that data on dimension and distribution of cavities in the subsoil of Naples could be unprecise and that the number of sinkholes originated by collapse mechanism could be underestimated.

Relations between sinkholes and presumable triggering factors have been deeply investigated (Fig. [12\)](#page-25-0). Distribution of monthly aggregated rainfall data from January 2010 to June 2021 seems to be in good agreement with rainfall-induced sinkholes frequency. As highlighted in Sect. [4,](#page-6-0) years 2015 and 2019 were characterized by a higher number of sinkhole events. In particular, sinkholes occurred in 2015 are distributed during the entire year, with concentrations in correspondence of particularly rainy months (e.g. February and June). In the opposite, sinkholes occurred in 2019 are concentrated in November (almost half of all sinkholes occurred in the year), when the amount of rainfall is higher than the average for the period. In this specifc month, 15 sinkholes are classifed as triggered by rainfall, 3 as derived by aqueduct and sewer leaks and 2 by not defned factor (n.d.). It is important to underline that the sewer system considered is also responsible for disposal of water from road pavements and delivering it to the sea, whereby in cases of intense rainfall, leaks from sewer system make difficult to associate sinkhole formation to a specific triggering factor. A signifcant correlation between collapse mechanism and rainfall seems to exist. Indeed, a sort of clustering of collapse sinkholes in correspondence of wettest months was identifed



<span id="page-25-0"></span>**Fig. 12** Distribution of monthly cumulative rainfall, sinkholes divided for triggering factor; stars refer to number of sinkholes originated by cavity-collapse mechanism

(Fig. [12](#page-25-0)). This evidence might be explained considering that continuous and prolonged soaking, saturating the ground, represents a relevant factor promoting instability of cavity roof (e.g. Scotto di Santolo et al. [2018\)](#page-30-8).

The knowledge of predisposing factors, such as the presence of underground network of cavities and distribution of sewer system, could represent an important basis in the development of a reliable sinkhole susceptibility analysis. In this perspective, because the location of cavities is partially unknown, a specifc survey oriented to the identifcation and characterization (i.e. cavity's dimension, depth, flling etc.…) of such elements would be signifcant. Moreover, the identifcation of the most susceptible areas can be used in planning the inspection strategies. On these bases, monitoring systems for pore-pressure and/or deformation of cavity's roof as well as cavity stability analysis could be envisaged.

## **6 Conclusions**

To improve the knowledge of anthropogenic sinkholes in the city of Naples in view of a prospective evaluation of hazard and risk, a new and updated inventory of sinkhole has been created and here presented. In particular, moving from an available inventory consisting of 188 sinkholes, events occurred between February 2010 and June 2021 were collected and characterized through newspaper analysis and feld surveys. The new updated inventory now consists of 458 entries, 270 of which occurred after 2010. Information about date, location, triggering factor and morphometry have been derived from available sources and associated to the inventoried event. For its quantity of data, the inventory constructed in this study constitutes an exceptional volume of information and ofers an excellent opportunity to develop signifcant prediction models in near future, considering not only the probability of occurrence of new sinkholes, but also magnitude and frequency relationships of the collapse events. However, an attempt to develop a preliminary sinkhole susceptibility assessment was done through the application of Frequency Ratio approach and considering twelve factors as predisposing to the sinkhole occurrence.

Database analysis reveals some important aspects: (1) annual frequency of the events has a sustained increasing trend; (2) statistical distribution of triggering factors and morphometric characters are consistent with previous studies; (3) spatial distribution of the new inventoried sinkholes coincides with the area of the city already afected by sinkholes in the past, even if a growing trend in the neighbouring areas of the city has been observed; (4) higher concentration of events is recognized in the ancient centre of the city where a difuse network of cavities is present. This is also confrmed by sinkhole susceptibility map which identifes the most susceptible area coincident with the ancient centre of the city, while a medium–low susceptibility characterizes the outermost areas.

A matching analysis between sinkhole position and bufered cavities indicates that a fraction of the new inventoried sinkholes can be associated to a cavity-collapse mechanism, highlighting the role of such underground elements in promoting surface instabilities. As expected, and consistently with the existing inventory, a large fraction of sinkholes was triggered by rainfall events and aqueduct and sewer damage. However, the defnition of the triggering mechanisms is very difcult or sometimes impossible (especially for old events). In this perspective, analysis of correlation between rainfall and sinkholes events deserves to be further investigated in the context of an evaluation of sinkholes risk, also posing particular attention to the distribution of aqueduct and sewer network that cross the city.

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**Data availability** Data and materials are available under motivated request.

## **Declarations**

**Confict of interest** The authors have no conficts of interest to declare that are relevant to the content of this paper.

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